MEFF

NOTICE 18/17

Fecha: 02 June 2017

Asunto: BME Exchange Transaction Reporting Service

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As it was stated on 6 April, BME offers a Transaction Reporting Service to the Competent Authority for Market Members adapting it to new regulation requirements.

The documentation of the Transaction Reporting Service (hereinafter STR) Version 1.0. is enclosed. Highlights include:

- TR Service is offered to communicate transactions to CNMV or another European Competent Authority. The communication of out of the Market transactions (XOFF) arising from Market transactions is also included within this service, however this service will be carried out through BME ARM (Chapter 1 of the enclosed document).

- Market Members should provide additional information to BME system in order to comply with TR requirements. The necessary additional information can be sent by two combinable systems, always through plain text file via SFTP as detailed in Chapter 2:

    o Method where additional information is linked trade by trade by means of a transaction code.

    o Method which allows STR to gather order data and therefore completes the necessary information for the communication. This method requires detailed information from Members when sending files for Order Record Keeping.

- STR program shall check all ESMA validations and additional ones, on order to guarantee the quality of the service. The log that has not been validated due to lack of additional information on the Market Member side, or due to other errors, will be communicated to the Market Member (Chapter 3 of the enclosed document).

- STR shall inform the Member regarding the accurate files sent to the Competent Authority.

Members will be duly informed about fees and test dates.

For further information, please contact Meffmarketservices@grupobme.es