

NOTICE

MEFF 01/2026

Annual Due Diligence

MEFF

20 January 2026

Following regulatory requirements of Article 7 of the Commission Delegated Regulation 2017/584 of 14.07.2016, which completes Directive 2014/65 /EU of the European Parliament and of the Council, regarding the technical norms of regulation that specify the organizational requirements of the trading centers MEFF requires Market Members to complete the Due diligence questionnaire during the first 2026 quarter. You can find the questionnaire in <https://www.meff.es/ing/Annual-Review> Web site.

Please send it to comercialmeff@grupobme.es

MEFF 01/2026

This material has been prepared by Bolsas y Mercados Españoles, Sociedad Holding de Mercados y Sistemas Financieros S. A. (BME), its subsidiaries, affiliates and/or their branches (together, "BME") for the exclusive use of the persons to whom BME delivers this material. This material or any of its content is not to be construed as a binding agreement, recommendation, investment advice, solicitation, invitation or offer to buy or sell financial information, products, solutions or services. The information does not reflect the firm positions (proprietary or third party) of the entities involved in the Spanish Securities Market. BME is under no obligation to update, revise or keep current the content of this material, and is subject to change without notice at any time. No representation, warranty, guarantee or undertaking – express or implied – is or will be given by BME as to the accuracy, completeness, sufficiency, suitability or reliability of the content of this material.

The opinions presented are theoretical and, therefore, the content hereof is intended for informational purposes only and should not be used for portfolio or asset valuations, or as the basis for any investment recommendations. Neither contributing Entities, nor Bolsas y Mercados Españoles, Sociedad Holding de Mercados y Sistemas Financieros S.A.(BME) nor any of its subsidiaries, accept responsibility for any financial loss or decision made based on the information contained in this material. In general, neither Bolsas y Mercados Españoles, Sociedad Holding de Mercados y Sistemas Financieros S. A. (BME) nor any of its subsidiaries, nor the contributing Entities, their directors, representatives, associates, subsidiaries, managers, partners, employees or advisors accept any responsibility for this information or unauthorised use of the same.

This material is property of BME and may not be printed, copied, reproduced, published, passed on, disclosed or distributed in any form without the express prior written consent of BME.

2023 Bolsas y Mercados Españoles, Sociedad Holding de Mercados y Sistemas Financieros S. A. All rights reserved.

BME
Plaza de la Lealtad,1
Palacio de la Bolsa
28014 Madrid

www.bolsasymercados.es



EVALUATION QUESTIONNAIRE TO MEMBERS OF TRADING VENUES

Member code:	
Member name:	
Contact person:	
City:	
Country:	
Telephone:	
E-mail:	

By virtue of compliance with the provisions of article 7 of Commission Delegated Regulation (EU) 2017/584 of the Commission of July 14, 2016, which completes Directive 2014/65 / EU of the European Parliament and of the Council, regarding the technical norms of regulation that specify the organizational requirements of the trading centers, MEFF (hereinafter MEFF or Exchange) requires you as a Market Member to complete the following questionnaire.

Please, return to the following email address: comercialmeff@grupobme.es

PRE TRADE CONTROLS (Delegated Regulation (UE) 2017/589)

Do you apply pre-trade controls according to Article 15 Delegated Regulation (EU) 2017/589 about:

- | | |
|-----------------------|--|
| • Usage of the System | YES <input type="checkbox"/> NO <input type="checkbox"/> |
| • Price | YES <input type="checkbox"/> NO <input type="checkbox"/> |
| • Volume | YES <input type="checkbox"/> NO <input type="checkbox"/> |
| • Value of Orders | YES <input type="checkbox"/> NO <input type="checkbox"/> |

Do you apply post-negotiation controls on your trading activities in accordance with the provisions of Delegated Regulation Art.17 (EU) 2017/589? YES ☐ NO ☐

QUALIFICATION OF STAFF IN KEY POSITIONS

- | | |
|--|--|
| • Number of traders with MEFF license | <input style="width: 80%;" type="text"/> |
| • Number of traders licensed in another derivatives exchange | <input style="width: 80%;" type="text"/> |
| • Number and identification of employees in key positions that are duly empowered to act on behalf of the Member before the Market and have the necessary qualification, in accordance with the provisions of Delegated Regulation (EU) 2017/589 | <input style="width: 80%;" type="text"/> |

TECHNICAL AND FUNCTIONAL CONFORMITY TESTS BEFORE THE IMPLEMENTATION OF A SUBSTANTIVE MODIFICATION IN YOUR SYSTEMS

- Have you submitted technical and functional compliance tests to your systems before the implementation of a substantive modification in them? YES ☐ NO ☐
- Have you sent the certificates of your tests according to Article 10.1 of Delegated Regulation (EU) 2017/584? YES ☐ NO ☐

KILL BUTTON FUNCTIONALITY

- Can you activate at any time the total or partial cancellation of orders in accordance with Regulation (EU) 2017/589 art 12 of the Regulation? YES ☐ NO ☐

DIRECT ELECTRONIC ACCESS (DEA)

- Confirm if you offer direct access to the market (DMA) to your customers, and if you offer it, confirm that you offer it under the terms provided in Delegated Regulation (EU) 2017/589 YES ☐ NO ☐
- If you answer yes in the previous section, confirm that you have carried out the due diligence evaluation under the terms of Article 22 of Delegated Regulation (EU) 2017/589 YES ☐ NO ☐

CONFIRM THAT YOU STILL REGISTERED AS A BANK OR INVESTMENT SERVICES COMPANY

YES ☐

NO ☐

In the case of negative response explain the reason

SIGNED:

NAME

DATE